FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

2732-173

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. **PCT/EP2004/008111** 

INTERNATIONAL FILING DATE 20 July 2004

PRIORITY DATE CLAIMED 22 July 2003

TITLE OF INVENTION **SECURITY ELEMENT** 

APPLICANT(S) FOR DO/EO/US

Wittich KAULE, Hajo MUECK, Siegfried HARMS

	Applicant herewith submits to the Un	nited States Designated/Elected Offi	ce (DO/EO/US) the following	ng items and other information
--	--------------------------------------	--------------------------------------	-----------------------------	--------------------------------

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [ ] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (w/substitute specifications)
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [X ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

U.S. APPECATIONING (II Swit) 5 PET/EP2004/008111			ATTORNEY DOCKET NO. <b>2732-173</b>				
20. X Other items or information:							
[X] RO/101 [] RO/105 [X] IB/301 [X] IB/304 [X] ISA/210 [X] IB/308 [] IB 401							
[ <b>X</b> ] IS	[ <b>X</b> ] ISA/237 [] IB/416						
21. The following fo	ees are submitte	d:		CALCULATIONS	PTO USE ONLY		
X Basic I	National Fee		\$300.00	\$ 300.00			
22. X Examin	ation Fee						
indicates all clai	ms satisfy provis		\$100.00 \$200.00	\$ 200.00			
23. X Search	Fee						
		r the IPER prepared by IPEA/US	20				
Search fee (37 CFR 1	1.445(a)(2)) has	been paid on the international application	60				
		al Search Authority \$ by an ISA other than the US and	100.00				
	•	,	5400.00 5500.00	\$ 400.00			
		TOTAL OF 21, 2	22 AND 23 =	\$ 900.00			
		and drawings filed in paper over 100					
		computer program listing filed in an electronic med ditional 50 sheets of paper or fraction thereof.	dium).	\$			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate				
- 100 =	/ 50 =		x 250	\$			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).			\$				
Claims	Number Filed	Number Extra	Rate				
Total Claims	75-20 =	55	X \$50.00	\$2750.00			
Independent Claims	5-3=	2	X \$200.00	\$400.00			
Multiple dependent claim(s) (if applicable) + \$360.00			\$				
TOTAL OF ABOVE CALCULATIONS =			\$3150.00				
Applicant claims small entity status. The fees indicated above are reduced by 1/2.			\$				
SUBTOTAL =			\$4050.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$				
TOTAL NATIONAL FEE =			\$4050.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be			7.000.00	<del> </del>			
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40.00				
TOTAL FEES ENCLOSED =			\$				
			• •	Amount to be refunded	\$		
				Amount to be charged	\$4090.00		

U.S. APPL	U745651	INTERNATIONAL APPLICATION NO.  #GT/EP2004/008111		ATTORNEY DOCKET NO. 2732-173		
a. 🔲						
b. X	b. X Please charge my Deposit Account No. 02-2135 in the amount of \$ 4090.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Customer No. 06449 Signature Signature			<i>W</i>			
Rothwell, Fi 1425 K St.,	igg, Emst & Manbeck N.W.		George R. Re Name	pper		
Washington, D.C. 20005 Phone: 202/783-6040		31,414 Registration Number				